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In re application of:

Group Art Unit:

3742

Douglas Zhu et al.

Examiner:

Leonid M.

**Fastovsky** 

Serial No.:

10/709,753

Filed:

May 26, 2004

For:

METHOD AND SYSTEM FOR A VEHICLE BATTERY

TEMPERATURE CONTROL

Attorney Docket No.: FMC 1698 PUS / 81094665

## INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER 37 C.F.R. § 1.97(c) AND (e)(2)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Form PTO/SB08A are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., after the mailing date of a first Office Action on the merits but before the mailing of a final action or a notice of allowance.

No item of information contained in this Statement was cited in a communication

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

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Atty Dkt No. FMC 1698 PUS / 81094665

from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Douglas Zhu et al.

John R. Buser

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Attorney/Agent for Applicant

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PTO/SB/08A (10-96) [MODIFIED]

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Substitute for Form 1449AP				Complete if Known		
			•	Application Number	10/709,753	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			•	Filing Date	May 26, 2004	
			PLICANI	First Named Inventor	Douglas Zhu et al.	
				Group Art Unit	3742	
(use as many sheets as necessary)				Examiner Name	Leonid M. Fastovsky	
Sheet	1	of	1	Attorney Docket Number	FMC 1698 PUS / 81094665	

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	U.S. PATENT D	OCUMENT Kind Code <sup>2</sup> ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,321,646		Ku	03/23/1982	
		5,463,203		Moore	10/31/1995	
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FOREIGN PATENT DOCUMENTS								
		Foreign Patent Document				Date of Publication	Pages, Columns, Lines, Where Relevant	
Examiner Initials	Cite No. <sup>1</sup>	Office 3	Number 4	Kınd Code <sup>a</sup> ( <i>if known</i> )	Name of Patentee or Applicant of Cited Document	of Cited Decument  MM-DD-YYYY	Lines, Where Relevant Passages or Relevant Figures Appear	<b>٦٢</b> ٥ ا
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Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.